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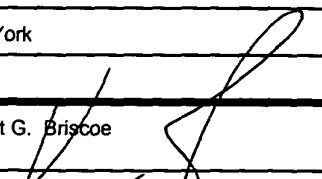
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SECRET

12-04-00

A

PTO/SB/05 (08-00)

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.		Heraeus 379-KGB	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor		Dr. Albert ERDRICH, et al.	
		Title		SEE APPENDIX	
		Express Mail Label No.		EL 767491637 US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO:		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)			
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)			
3. <input checked="" type="checkbox"/> Specification [Total Pages 13] (Preferred arrangement set forth below)		a. <input type="checkbox"/> Computer Readable Forms (CRF)			
- Descriptive title of the invention		b. Specification Sequence Listing on:			
- Cross Reference to Related Applications		i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or			
- Statement Regarding Fed sponsored R & D		ii. <input type="checkbox"/> paper			
- Reference to sequence listing, a table, or a computer program listing appendix		c. <input type="checkbox"/> Statements verifying identity of above copies			
- Background of the Invention		ACCOMPANYING APPLICATION PARTS			
- Brief Summary of the Invention					
- Detailed Description of the Drawings (if filed)		9. <input type="checkbox"/> Assignment Paper (cover sheet & document(s))			
- Claim(s)		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (When there is an assignee)			
- Abstract of the Disclosure		11. <input type="checkbox"/> English Translation Document (if applicable)			
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets]		12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449			
5. <input type="checkbox"/> Oath or Declaration [Total Pages]		13. <input type="checkbox"/> Preliminary Amendment			
a. <input type="checkbox"/> Newly executed (original or copy)		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (For continuation/divisional with Box 17 completed)		15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed Statement attached deleting inventor(s) Named in the prior application, se 37 CFR 1.63 (d)(2) and 1.33(b).		16. <input checked="" type="checkbox"/> Other <u>Appendix</u>			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:					
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____					
Prior application information: Examiner _____ Group / Art Unit: _____					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
18. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or bar Code Label <div style="border: 1px solid black; width: 200px; height: 40px; display: flex; align-items: center; justify-content: center;">(Insert Customer No. or Attach bar code label here)</div> <input type="checkbox"/> Correspondence address below					
Name		Kurt G. Briscoe, Esq.			
Address		Norris McLaughlin & Marcus, P.A. 220 East 42 nd Street - 30 th Floor			
City	New York	State	New York	Zip Code	10017
Country	USA	Telephone	212-808-0700	Fax	212-808-084
Name (Print/Type)	Kurt G. Briscoe	Registration No. (Attorney/Agent)	33,141		
Signature		Date	December 7, 2000		

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,142.00

Complete if Known

Application Number To Be Assigned
Filing Date Herewith
First Named Inventor Dr. Albert ERDRICH, et al
Examiner Name To Be Assigned
Group Art Unit To Be Assigned
Attorney Docket No. Heraeus 379-KGB

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number NORRIS, McLAUGHLIN & MARCUS, P.A.
Deposit Account Name 14-1263

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101	710	201 355 Utility filing fee	710
		106	320	206 160 Design filing fee	
		107	490	207 245 Plant filing fee	
		108	710	208 355 Reissue filing fee	
		114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims 44 -20** = 24 x 18 = 432.
Independent Claims 1 -3** = 0 x 0 = 0
Multiple Dependent 0 = 0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103	18	203 9 Claims in excess of 20
		102	80	202 40 Independent claims in excess of 3
		104	270	204 135 Multiple dependent claim, if not paid
		109	80	209 40 ** Reissue independent claims over original patent
		110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 432.

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		105	130	205 65 Surcharge - late filing fee or oath	
		127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
		139	130	139 130 Non-English specification	
		147	2,520	147 2,520 For filing a request for ex parte reexamination	
		112	920*	112 920* Requesting publication of SIR prior to Examiner action	
		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
		115	110	215 55 Extension for reply within first month	
		116	390	216 195 Extension for reply within second month	
		117	890	217 445 Extension for reply within third month	
		118	1,390	218 695 Extension for reply within fourth month	
		128	1,890	228 945 Extension for reply within fifth month	
		119	310	219 155 Notice of Appeal	
		120	310	220 155 Filing a brief in support of an appeal	
		121	270	221 135 Request for oral hearing	
		138	1,510	138 1,510 Petition to institute a public use proceeding	
		140	110	240 55 Petition to revive - unavoidable	
		141	1,240	241 620 Petition to revive - unintentional	
		142	1,240	242 620 Utility issue fee (or reissue)	
		143	440	243 220 Design issue fee	
		144	600	244 300 Plant issue fee	
		122	130	122 130 Petitions to the Commissioner	
		123	50	123 50 Petitions related to provisional applications	
		126	240	126 240 Submission of Information Disclosure Stmt	
		581	40	581 40 Recording each patent assignment per. property (times number of properties)	
		146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179	710	279 355 Request for Continued Examination (RCE)	
		169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Kurt G. Briscoe	Registration No. (Attorney/Agent)	33,141	Telephone	(212) 808-0700
Signature	<i>Kurt G. Briscoe</i>	Date	December 7, 2000		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.